

VERTEX PHARMACEUTICALS INCORPORATED

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То	MISSING PARTS
Company	USPTO
FAX	(703) 746-4060
From	Nandakumar Govindaswamy
Date	October 5, 2004
Subject	Application No. 10/728,114
	Attorney Docket No. VPI/02-135 US
Total Pages	15

## **Message or Comment**

In response to the Notice of Incomplete Nonprovisional Application dated March 5, 2004 attached are a a Fee Transmittal (1 page, In duplicate); a Petition for Five Month Extension of Time (1 page, In duplicate); a Transmittal Letter for Response to the Notice to File Missing Parts of Nonprovisional Application (2 pages, in duplicate); a copy of the Notice of Incomplete Nonprovisional Application (2 pages); executed Declaration document (3 pages) relating to the above-identified application.

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence, and any documents referred to as attached hereto, is/are being transmitted to the United States Patent and Trademark Office, Facsimile Number: (793) 746-4060 on this 5<sup>th</sup> day of October 2004.

Nandakumar Govindaswamy

If any problems occur with this fax transmittal, please call (617) 444-6619 immediately.

FAX Number (617) 444-6483 Legal Department

## **CONFIDENTIALITY NOTICE**

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**VPI/02-135 US** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Jugnu Jain-Pandey et al.

Serial No.:

10/728,114

Filing Date:

December 4, 2003

Group Art Unit:

Not Yet Assigned

Examiner:

Not Yet Assigned

Confirmation No.:

7651

For:

COMPOSITIONS COMPRISING INHIBITORS OF IMPDH

**ENZYME** 

Cambridge, Massachusetts October 5, 2004

MAIL STOP MISSING PARTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL LETTER FOR RESPONSE TO NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

Sir:

This is transmittal letter is in response to the March 5, 2004 Notice of Incomplete Non-provisional Application (hereinafter "the Notice") in the above-identified application. Applicants have enclosed herewith a Petition under 37 C.F.R. § 136(a)(5) to extend the time for a reply up to, and including, today, October 5, 2004. Consequently, this response is filed timely.

1. The Notice notes that the application was deposited without the drawings referenced in the specification, and that drawings are required "where necessary for the understanding of the subject matter sought to be patented." 35 U.S.C. § 113 (first sentence).

Applicants submit that the drawings are not necessary for the understanding of the subject matter sought to be patented. Consequently, applicants request that the application be granted the benefit of the December 5, 2003 filing date.

Atty. Docket No.: VPI/03-106 US

U.S.S.N.: 10/862,909 Applicants: Makings, et al.

-2-

2. The Notice notes that the satutory basic filing fee of \$ 770.00, additional claim fees of \$1,568.00, and the surcharge of \$ 130.00.

Applicants have enclosed the requisite Fee Transmittal sheet authorizing the Director to charge the above fees to Deposit Acount No. 50-0725.

3. The Notice requires applicants to submit an executed Oath and Declaration in the above application.

Applicants have enclosed herewith an Oath and Declaration duly executed by the named inventors to the above application.

#### CONCLUSION

Applicants request that the Director accept the above fees, enter the executed Oath and Declaration into record, and grant the above application the filing date of December 4, 2003.

The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. §1.16 in connection with the papers transmitted herewith, or credit any overpayment of the same, to Deposit Account No. 50-0725. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

Nandakumar Govindaswamy, Ltd. Recognition

Attorney for Applicants

c/o Vertex Pharmaceuticals Incorporated

130 Waverly Street

Cambridge, MA 02139-4242

Tel.: (617) 444-6619 Fax.: (617) 444-6483

0 5 2004

TRADE!

PTO/SB/17 (10-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ter the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees ere subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

(\$)	4,568.00	
(4)	7,000.00	

Complete if Known			
Application Number	10/728,114		
Filing Date	December 4, 2003		
First Named Inventor	Jugnu Jain-Pandey		
Examiner Name	Not Yet Assigned		
Art Unit	Not Yet Assigned		
Attorney Docket No.	VPI/02-135 US		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)			
Check Credit card Money Other None	3. ADDITIONAL FEES			
Deposit Account:	arge Entity   Sma	ali Entity		
Deposit	Fee Fee Fee Code (\$) Code	Fee Fee Description	ee Pald	
Account   50-0725	1051 130 2051		130.00	
Number Deposit Account Vertex Pharmaceuticals	1052 50 2052			
Name Vertex Pharmaceuticals		cover sheet		
The Director is authorized to: (check all that apply)	1053 130 1053			
Charge fee(s) indicated below		· · · · · · · · · · · · · · · ·		
Charge any additional fee(s) or any underpayment of fee(s)	1804 920* 180	04 920* Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee	1805 1,840* 1805	5 1,840* Requesting publication of SIR after Examiner action		
to the above-identified deposit account.	1251 110 225			
FEE CALCULATION	1252 430 225			
1. BASIC FILING FEE Large Entity Small Entity	1253 980 225			
Fee Fee Fee Fee Description Fee Paid	1254 1.530 225			
Code (\$) Code (\$)	1255 2.080 225		2.080.00	
1001 790 2001 395 Utility filing fee 790.00	1401 340 240			
1003 550 2003 275 Plant filing fee	1402 340 240			
1004 790 2004 395 Reissue filing fee	1403 300 240			
1005 160 2005 80 Provisional filing fee	1451 1,510 145	i1 1,510 Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 790.00	1452 110 245	52 55 Petition to revive - unavoidable		
	1453 1,330 245	i3 665 Pelition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,370 250	11 685 Utility issue fee (or reissue)		
Extra Claims below Fee Paid	1502 490 250	02 245 Design Issue fee		
Total Claims 91 -20** = 71 X 18 = 1278	1503 660 250	03 330 Plant issue fee		
Claims X 00 40	1460 130 146	60 130 Petitions to the Commissioner		
	1807 50 18	507 50 Processing fee under 37 CFR 1.17(q)		
Large Entity   Small Entity	1806 180 18			
Code (\$) Code (\$)	8021 40 80	40 Recording each patent assignment per property (times number of properties)	ľ	
1202 18 2202 9 Claims in excess of 20	1809 790 28			
1201 88 2201 44 Independent claims in excess of 3		(37 ČFR 1.129(a))		
1203 300 2203 150 Multiple dependent claim, if not paid	1810 790 28	110 395 For each additional invention to be examined (37 CFR 1.129(b))		
1204 88 2204 44 ** Reissue Independent claims over original patent	1801 790 280	, , , , , ,		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 180	02 900 Request for expedited examination of a design application		
	Other fee (specify)	- ···		
SUBTOTAL (2) (\$) 1,568.00    **or number previously paid, if greater; For Reissues, see above	Reduced by Basic		00	
or manual providing paid, a greater, 1 or moissaes, see above		( <del>V</del> ) = 1 = 1 = 1		

SUBMITTED BY (Complete (# spplicable)) Registration No. Telephone 617-444-6619 Name (Print/Type) Nandakumar Govindaswamy Ltd. Recog. October 5, 2004 Signature

WARRING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of Information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the This collection or information is required by 37 CFR 1.17 and 1.27. The information is required to obtain a retain a design of the process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including galhering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Potent and Trademark Office-Address: COMMISSIONER FOR PATENTS P. Dex 1450 Alexandrs, Virginia 22313-1450 www.undo.gov

ATTORNEY DOCKET NUMBER FIRST NAMED APPLICANT APPLICATION NUMBER FILING OR 371 (c) DATE VPI/02-135 US 10/728,114 12/04/2003 Jugnu Jain-Pandey

27916 VERTEX PHARMACEUTICALS INC. 130 WAVERLY STREET CAMBRIDGE, MA 02139-4242

**CONFIRMATION NO. 7651 FORMALITIES LETTER** \*OC000000012038540\*

Date Mailed: 03/05/2004

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within TWO MONTHS of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)). Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).
- · The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- · The oath or declaration is unsigned.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

Additional claim fees of \$1568 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. 0/06/2004 JBALINAN 00000150

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$2338 for a Large Entity

**55 55** 

- \$770 Statutory basic filing fee.
- Total additional claim fee(s) for this application is \$1568
  - \$1278 for 71 total claims over 20.
  - \$290 for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice-MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE